



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Yencho, Stephen; et. al.

Assignee: Cardica, Inc.

Title: Implantable Superelastic Anastomosis Device

Serial No.: 09/687,216 Filing Date: October 12, 2000

Examiner: Ismael Izaguirre Group Art Unit: 3765

Docket No.: 032405-018

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INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

In compliance with the Applicant's duty under 37 CFR § 1.56, the following items listed on the attached form PTO-1449 are brought to the attention of the Examiner in conjunction with the RCE filed herewith.

A copy of a cumulative patent listed on the attached form PTO-1449 have not been provided, pursuant to MPEP 609(III)(A)(2). That cumulative patent is U.S. Pat. No. 6,599,303 to Peterson et. al., a child of U.S. Pat. No. 6,152,937, a copy of which has been provided previously.

This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), and thus no petition or fee is required.

Respectfully submitted,

Brian A. Schar
Attorney for Applicant(s)
Reg. No. 45,076
Cardica, Inc.
900 Saginaw Drive
Redwood City, CA 94063

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| FORM PTO-1449 LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Us sev ral sh ets if n cessary) | ATTY. DOCKET NO. 032405-018 | SERIAL NO. 09/687,216 |
| | APPLICANT: Yencho, Stephen; et al. | |
| | FILING DATE: 10/12/2002 | GROUP: 3765 |

| U.S. PATENT DOCUMENTS | | | | | | |
|-----------------------|--------------------|------------|------------------|-------|-----------|-------------|
| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE |
| | 6,599,303 | 07/20/2003 | Peterson et. al. | | | |
| | 6,602,263 | 08/05/2003 | Swanson et. al. | | | |
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| EXAMINER: | DATE CONSIDERED: |
| EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant | |